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# Induction Motor Drive Using Fractional-Order Proportional Integral Derivative (FOPID) Controller Based on Nelder-Mead and Grey Wolf Optimizers

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KEYWORDS:

Induction Motor Drive, FOPID controller, Nelder-Mead optimizer, Grey Wolf Optimizer, induction motor Simulink, three cases study

Abstract— Induction motors are widely used in industrial applications due to their advantages over dc motors in terms of low cost, low maintenance, high performance, and high power density. This article aims to achieve constant speed control of the induction motor (IM) and improves the motor performance using a Fractional-order PID controller (FOPID). The FOPID controller contains five important variables coefficients. They are named as follows: proportional operator (Kp), integral operator (Ki) derivative operator (Kd), integral of fractional-order  $(\lambda)$  and the derivative of fractional-order  $\mu$ . The performance of any controller depends mainly on the chosen values of the aforementioned operators (Kp, Ki, Kd,  $\lambda$ ,  $\mu$ ) so one of the main objectives of this paper how to optimize the values of these five parameters to improve the system performance. Actually there are many methods to achieve the optimization problem but a two selected optimizers (Grey Wolf Optimizer, and Nelder-Mead) are chosen in this paper due their nature which make them more suitable for the presented problem. Three cases study of the induction motor integrated with the proposed controllers are simulated based on Matlab SIMULINK and the obtained results are discussed in details. The obtained results in all the cases ensured that the proposed FOPID controller introduced always the better performances indices which make it favorably recommended instead of other conventional controllers.

# I. INTRODUCTION

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Assoc. Prof. Dr. Eid Abdelbaki Gouda, associate professor at Electrical Engineering Department, Faculty of Engineering, Mansoura University, (email: eaidgoda@mans.edu.eg.) NDUCTION motors are the most popular electrical systems in modern industries and are known for their many benefits which are high efficiency, low costs, low maintenance needs, and simple construction[1]-[3]. The induction motor (IM) is a kind of AC motors where the electromagnetic power is passed from the main windings to secondary windings through inductive coupling, the two windings being isolated by an air gap. This power is normally transferred from the stator windings to the rotor windings in a three-phase motor to convert electrical power to mechanical power [4], [5].

When the AC voltage source applied to the motor' stator terminals a rotation magnetic field will be produced in the stator windings. Also in the rotor side there will be induction currents and voltages will be created by induction concept. The motor will produce a suitable torque generated by the interaction between the stator and rotor fields which push the motor speed to startup and its value will increase gradually to reach near to the nominal motor synchronous speed [6]. The speeds of the actual rotors have to be less than synchronous speeds, so the relationship between the synchronous speed and motor speed is called slip. When the rotor speed decreases below synchronous speed, the rotating magnetic fluxes produce more currents in the windings and generate more torque. Under load, the speed of the IM decreases and the slip raises enough to produce enough torque to drive the load. For this purpose, IMs are also called as asynchronous motors [7].

Due to the important of induction motor drive many researchers proposed several techniques some of them are conventional and others are advanced among of them one called scalar control (SC) method which has been extensively used due to it possesses a simple design, easy to execute, and costless [8]-[10]. One of the most widely used and widespread techniques in SC is Voltage/frequency (V /f) which keeps the ratio constant between variation terminal voltage and frequency to avoid the saturation of the magnetic flux[11]. The conventional controllers such as Proportional Integral (PI) and Proportional Integral Derivative (PID) can be sometimes recommended due to their special advantages. For example the PI controller has simple design, improving damping, reducing maximum overshoot, reducing bandwidth, and increasing rise time. However, the PI controller sometimes fails to maintain motor reference speed specially in existing of interference or system disturbance [12]. Therefore, there was needed to use other modified control techniques like a PID [13], or FOPID controllers. The FOPID controller contains five important variables coefficients. They are named as follows: - proportional operator  $(K_p)$ , integral operator  $(K_i)$ , derivative operator  $(K_d)$  integral of fractional-order  $\lambda$  and the derivative of fractional-order  $\mu$ . It is noted that in case of PID and PI controllers the values of each  $\lambda$  and  $\mu$  parameters is equals 1. The performance of any controller depends mainly on the chosen values of the aforementioned operators  $(K_p, K_i,$  $K_d$ ,  $\lambda$ ,  $\mu$ ) so one of the main objectives of this paper how to optimize the values of these five parameters to improve the motor performance and enhancing its speed control. It was found several techniques (conventional or non-conventional) to optimize the five parameters. Among of them some classic methods such as Ziegler Nichols (ZN) [14], Cohen coon [15], and Chien-Hrones-Reswick [16]. Accuse of the continuous development in previous control technique methods especially in the last two decades and the invention of the heuristic approaches, the systems performances were improved rapidly. Some of familiar examples of these approaches are Genetic Algorithms (GA) [17], Particle Swarm Optimization [18], and Grey wolf Optimization (GWO). In this paper the GWO is proposed to apply due to its several merits such as it takes into account the global and local search possibility, hunting activity, and the social grey wolves swarm order. Also this

technique is considered very simpler to utilize and converges more quickly [19]. PID Tuner is another technique which can be used for optimization process [20]. The tuning objective in this method is to achieve better behavior and robustness for the used system. In Ref.[21], the authors presented a detail comparison between fuzzy PID and classical PID controllers. Form the presented study it was proved that fuzzy controller introduced higher performance than the conventional PID controller. For example the rising time of fuzzy PID is about 0.08sec which is considered shorter than the time in case of conventional one (about 0.2sec). Attia et al. [22] presented two different fuzzy controller adapted for switching filter compensation approach to improve power quality and system stability and its power factor. The used controllers are dealing with multi-loop dynamic error. The presented methodology granted minimal harmonica distortion. Abdelwanis et al. [23] introduced a details study about fuzzy controller adapted for six-phase Induction Motor. The conventional and fuzzy PID controller is designed and compared. The presented results proved that the fuzzy controller is recommend for the ensuring good system stability more than the classical one.

As mentioned before there are many methods to achieve the optimization problem of the FOPID five parameters controller but two only selected optimizer GWO, and Nelder-Mead (NM) optimization due their nature which make them more suitable for the presented problem[24],[25]. There will be a three different cases study including three different induction motors system rating with PI, PID and FOPID controllers will be studied in the current paper.

The paper is organizes as follows; system induction motor modeling and SIMULINK and fractional order PID controller are presented in sections II and III. The used optimizer techniques are introduced in section IV. After that, definitions of important control parameters sections are discussed in details. Finally the paper was ended by results, analysis and conclusion.

# I. SYSTEM INDUCTION MOTOR MODELING AND SIMULINK

Three induction motor models will be presented with their Simulink as follow:-

# A. Case No.1:

Here three phase induction motor represented by its transfer function only [26] is given by equation 1.

$$G_P(S) = \frac{2}{4S^2 + 2S + 1} \tag{1}$$

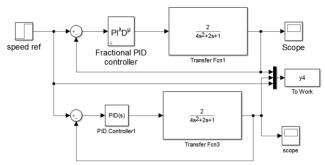


Fig. 1. Matlab Simulink modeling for Case 1

The Matlab Simulink included the motor transfer function with two different controllers (PID and FOPID) are shown in Fig1. The presented simulated system is considered a closed loop speed controller. The input signal is a unit step function which corresponding to the motor speed event.

# B. Case No. 2

Another induction motor is used in this paper. The motor data in this case is defined as four-pole squirrel-cage three-phase induction motor with stator voltage about 120/208v, a nominal speed is 1385 rpm, and the nominal current is 0.67 A and the corresponding transfer function is given by equation(2) [27].

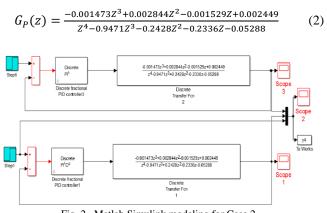


Fig. 2. Matlab Simulink modeling for Case 2

The system modeling with the used controllers are depicted in Fig2

#### C. Case No. 3

The motor modeling in this case will based on the dq model equations [28] the flux linkages, the voltage equations of the stator, and rotor reference frame on the dq-axis can be written as follows:

$$v_{ds} = R_s i_{ds} - \omega \lambda_{qs} + \frac{\mathrm{d} \,\lambda_{ds}}{\mathrm{d} t} \tag{3}$$

$$v_{qs} = R_s i_{qs} - \omega \lambda_{ds} + \frac{\mathrm{d}\,\lambda_{qs}}{\mathrm{d}t} \tag{4}$$

$$v_{dr} = R_r i_{dr} + (\omega - \omega_r)\lambda_{dr} + \frac{d\lambda_{dr}}{dt}$$
(5)

$$v_{qr} = R_r i_{qr} + (\omega - \omega_r)\lambda_{qr} + \frac{\mathrm{d}\,\lambda_{qr}}{\mathrm{d}t} \tag{6}$$

$$\lambda_{ds} = L_s i_{ds} - L_m i_{dr} \tag{7}$$

$$\lambda_{qs} = L_s i_{qs} - L_m i_{qr} \tag{8}$$

$$\lambda_{dr} = L_r i_{dr} - L_m i_{ds} \tag{9}$$

$$\lambda_{qr} = L_r i_{qr} - L_m i_{qs} \tag{10}$$

The electromagnetic torque  $T_e$ , and the corresponding arbitrary rotor speed  $\omega_r$  can be determined as follows:

$$T_e = \frac{3p}{4} (i_{qs} \lambda_{ds} - i_{ds} \lambda_{qs}) \tag{11}$$

$$\omega_r = \int \frac{2P}{J} (T_e - T_L) \tag{12}$$

The equations from 3 to 12 are simulated in Matlab Simulink and shown in Fig3. And also the block diagram of the induction motor with the proposed FOPID controller connected with Voltage Source Inverter (VSI) are presented in Fig4. The overall Simulink for the used system with the different PI, PID, FOPID controllers and VSI are shown in Figures 5:9.

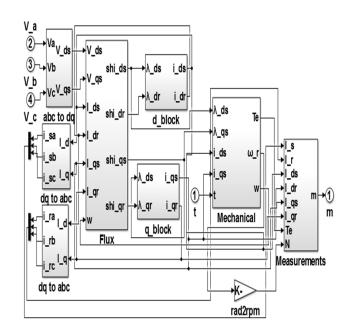


Fig. 3. Simulink of the Three Phase Induction Motor Dynamic Model

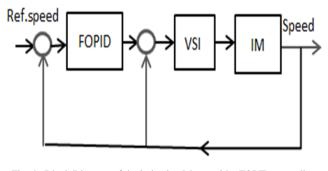


Fig. 4. Block Diagram of the induction Motor with FOPID controller with Voltage Source Inverter (VSI)

A simple three-phase inverter is prepared by Simulink 'Switch', 'Gain' and 'Sum' blocks depended on the relationship between phase voltages and pole voltages as in Figure 9[29], [30]. Note that one of the switch inputs is linked to the signal produced by the SPWM signal generator. PWM switching approaches are generally utilized to adjust the switches of voltage source inverters.

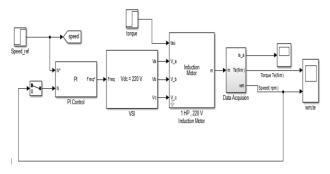


Fig. 5. Simulink of the motor, VSI and PI controller

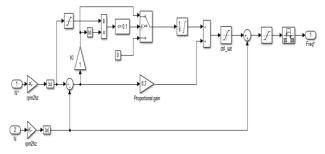


Fig. 6. PI controller

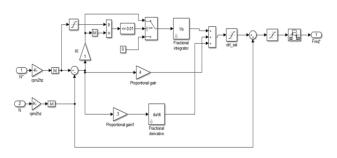


Fig. 7. PID controller

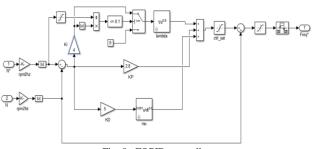


Fig. 8. FOPID controller

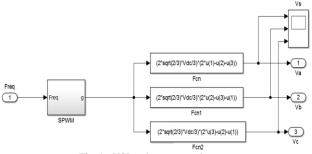


Fig. 9. VSI: voltage source inverter

# **II. FRACTIONAL ORDER PID CONTROLLER**

The FOPID is an extension of the PID controller widely utilized in industrial systems. The FOPID is dependent on fractional calculus so provides good behavior of dynamical systems and less sensitivity to varying components in a controlled system. a closed-loop system for the controller corrects the error between response value and set point value to achieve the desired output. The transfer equation of the FOPID controller as follows:-

$$G_c(s) = K_p + \frac{\kappa_l}{s^{\lambda}} + K_d s^{\mu}$$
(13)

Five elements,  $(K_p, K_i, K_d, \lambda, \mu)$  as mentioned before characterize the fraction controller behavior Therefore, the relation between the conventional PI, PID and the FOPID is illustrated in Fig 10. It is clear that the FOPID included in its behavior the conventional PI, PID this is occur when set  $\lambda$ , and  $\mu$  equals 1.

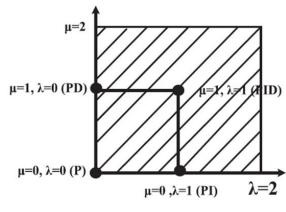


Fig. 10. FOPID Controller [25]

# **II. USED OPTIMIZER TECHNIQUES**

### A)Nelder-Mead optimization

This optimizer is integrated in MATLAB tool box called by the command fpid\_optim and has the following graphical user interface presented in Fig. 11[31].

Plant	nodel				-	- Optimization and per	formance	settings	
		G1	Type:	fotf		Optimization algorithm	optimize(	): Nelder-Mead	
		Refined Dustaloup 1	d Dustaloup titer			Performance metric	IAE		
		[0.0001; 10000]	01; 10000] Oforder 5		Gain and phase ma		roins		
		ncelation for non-pr	for non-proper LTI systems			Cain margin [dB]			
Fractio	nal PID co	ntroller paramete			1	10	Exact	60	Exact
Tune a	l parameter			Max		Noise and disturba	nce reied	tion	
Кр	25	0		100		Enable sensitivity	function sp	pecifications	
кі	0.7394	0 0		100		T(jw)  [dD] <=	-20	for w >= wt[red	/s]: 10
lam	0.9	0.9		1		G(jw)  [dD] ~	-20	for w 🖛 wa (rod	10.01 [e/
Kd	14.687	9 0		100		- Critical frequency a	nd gain v	veriation robust	ncea
mu	0.5	0.5		0.9		Enable gein variate			itical frequent
Se	t Ga	ins –	to	1				w_high [rad/s]	0.05
					_	- Control law constru			0.5
Simula	tion paran	neters			. 1	Enable control sign	allimits	Metric wgt	
Max.	simulation tir	me [s]:	200			Minimum 0		Maximum	100
Time s	tep (min/ma	x) [s]: 0.5		1	]	Optimization setpoint	100	Force a	trict constrain
Us Us	e Sinulink f	or system simulation	Disal	ble warnin	25	Generate report	Limit nu	mber of iterations	100

Fig. 11. FOPID optimization tool integrated with Nelder-Mead

The general steps of controller design as follows:-

- Choose the correct frequency range.
- Choose controller gain/exponent constraints.
- Choose control system constraints based on frequency domain analysis of the open loop.
- Specify the correct control saturation values of the actuator.
- Choose the suitable performance metric method.

For more details about this technique are found in Ref.[31].

# B) Grey Wolf Optimization (GWO)

GWO technique is considered as one the meta-heuristic optimizers. It simulated the lift style of the group of grey wolves (social hierarchy and hunting mechanism). Also, it described the natural process of grey wolves' life style and the mathematical equations of GWO were modeled based on this simulation. Flowchart of the GWO algorithm is shown Fig. 12 [32]

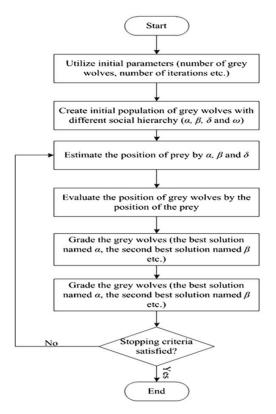
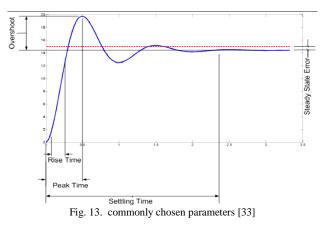


Fig. 12. Flowchart of the GWO algorithm [32]

# III. DEFINITIONS OF IMPORTANT CONTROL PARAMETERS

Some controller performance indices should be defined clearly to be used during the evaluation process of the behavior of the controller responses. The most commonly chosen parameters are shown in Fig. 13. And will be described as follows:-



- 1. Rise Time (tr): the time required for the signal to reach 90% of the final value.
- 2. Peak Time (tp) the time required for reaching its maximal value.
- 3. Overshoot = (max value final value)/ final value \*100.
- 4. Settling Time (ts): The time required to be bounded to within a tolerance of x% of the steady state value.

These indices will be used to compere between the different used controllers during the study.

# IV. RESULTS AND ANALYSIS

In this section for each case study the GWO or Nelder-Mead optimizer is used to find the best controller parameters values then the Matlab Simulink will be executed to obtain the corresponding output results

#### A)Case No.1:

Table 2 presents comparison between PID tuning parameters using the auto tuning and FOPID parameters using Nelder-Mead tuning. While Table 3 introduces final brief comparison between PID and FOPID controllers performance indices (rise time, settling time, peak overshot)

The output Simulink results are shown in Fig 14. It is obvious that the controller performance with induction motor in case of using FOPID with Nelder –Mead optimizer introduced better performances indices such as minimum rising time is equal (0.061sec) and reduced the settling period ( 0.1sec) so the motor performance in this case is more efficient than using PID.

 
 TABLE 2

 Comparison between PID tuning parameters using the Auto tuning and FOPID parameters using Nelder – Mead

	K <sub>p</sub>	K <sub>i</sub>	λ	K <sub>d</sub>	μ
FOPID	28	25	0.92	94	0.96
PID [26]	11.27	0.709		15.84	

TABLE 3
COMPARISON BETWEEN PID AND FOPID CONTROLLER PERFORMANCE
INDICES

controllers	Rise period(sec)	Settling Period(sec)	Peak Overshot
FOPID	0.061	0.1	0
PID [26]	0.28	0.4	0

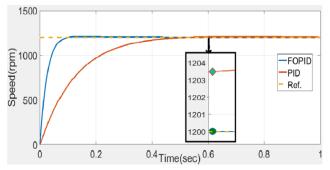


Fig. 14. Comparison of response FOPID, and Single-loop PID

### B)Case No. 2

The same procedure will be applied for the second case study. Table 4 and Table 5 presented the optimal tuning parameters and the performance indices respectively. Also it is found that the FOPID gave the better control performance where its rise time about 0.045sec and the settling time is 0.1sec which ensures again its behavior is better than the other FOPI controller. The obtained results are shown in Fig 15.

TABLE 4 COMPARISON BETWEEN FOPI AND FOPID PARAMETERS USING NELDER – MEAD

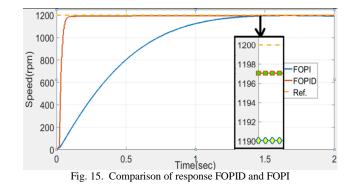
	K <sub>p</sub>	K <sub>i</sub>	λ	K <sub>d</sub>	μ
FOPID	80	98	0.97	6.8	0.06
FOPI [27]	5.2	10.7	0.893	0	

 TABLE 5

 COMPARISON BETWEEN FOPI AND FOPID CONTROLLER

 PERFORMANCE INDICES

controllers	Rise period(sec)	Settling Period(sec)	Peak Overshot
FOPID	0.045	0.1	0
FOPI [27]	0.9	1.2	0



# C)Case No. 3:

In this case complete details modeling of the induction motor was implemented in Matlab Simulink as mentioned before in section II, also here three different controllers PI, PID and FOPID are used.

## 1) Operation under no-load

Here it is assumed that the motor is run under no-load (it means load torque equals zero) and the required reference speed equals 1600 rpm. And after applying the proposed control strategy the obtained results were compared in tabular form as shown in Tables 6-7 and Figs.16 -18.

The analysis of the obtained results showed that the settling time is improved from 2.75 to 0.9 in case of using PID controller instead of PI controller. A higher improvement is obtained at using FOPID controller which reached to 0.275 as shown in Table 6. Also it was noted that there is another higher improvement for the rise time from 0.65 to 0.6 and from 0.6 to 0.225. The same notes for the signal overshoot.

 TABLE 6

 COMPARISON BETWEEN PID TUNING PARAMETERS USING THE AUTO

 TUNING AND FOPID PARAMETERS USING GREY WOLF OPTIMIZATION

	K <sub>p</sub>	K <sub>i</sub>	λ	K <sub>d</sub>	μ
FOPID	28	4	0.9	0.9	0.001
PID	4	1		0.3	
PI	0.3	1			

TABLE 7 COMPARISON BETWEEN PI, PID AND FOPID CONTROLLER PERFORMANCE INDICES

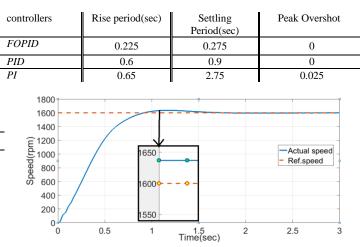


Fig. 16. Speed versus Time with set point speed at 1600 rpm with PI controller

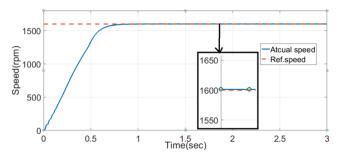


Fig. 17. Speed versus Time with set point Speed at 1600 rpm with PID controller

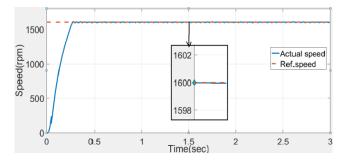
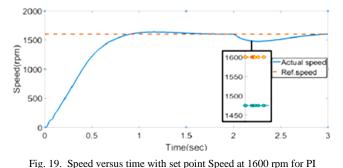


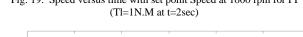
Fig. 18. Speed versus Time with set point Speed at 1600 rpm with FOPID controller

#### 2) Motor operation under loading

To investigate the system stability operation for the proposed controller a sudden torque load (Tload=1Nm) is applied at instant t=2sec.

The obtained results are shown in Figures 19:21. The results ensure again the proposed FOPID controller introduced always the better performances which make it favorably recommended instead of other conventional controllers.





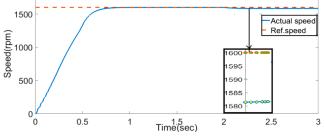


Fig. 20. Speed versus Time with set point Speed at 1600 rpm for PID ( $T_L$ =1N.M AT T=2SEC)

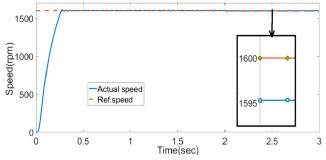


Fig. 21. Speed versus Time with set point Speed at 1600 rpm for FOPID (TL=1N.m at t=2sec)

#### V. CONCLUSION

This paper presented a details study about constant speed control achievement of the induction motors due to their highly important needed in the industrial applications. This is thanks to their several advantages over the conventional dc motors in terms of low cost, low maintenance, high performance, and high power density. The proposed control strategy improved the motor performance using a Fractionalorder PID controller (FOPID). The five important variables coefficients for the proposed controller are named as follows: proportional operator  $(K_p)$ , integral operator  $(K_i)$ , derivative operator  $K_d$  integral of fractional-order  $\lambda$  and the derivative of fractional-order $\mu$ . The performance of any controller depends mainly on the chosen values of the aforementioned operators  $(K_{n}, K_{i}, K_{d}, \lambda, \mu)$  so one of the main objectives of this paper how to optimize the values of these five parameters to improve the system performance. Actually there are many methods to achieve the optimization problem but a two selected optimizers (Grey Wolf Optimizer, and Nelder-Mead) are chosen in this paper due their nature which make them more suitable for the presented problem. Three cases study of the induction motor integrated with the proposed controllers are simulated based on Matlab SIMULINK and the obtained results are discussed in details. For example in the third case study under no load operation it is found that the settling time is improved from 2.75 to 0.9 in case of using PID controller instead of PI controller. A higher improvement is obtained at using FOPID controller which reached to 0.275. Also it was noted that there is another higher improvement for the rise time from 0.65 to 0.6 and from 0.6 to 0.225. The same notes for the signal overshoot. Finally it concluded that the obtained results in all the cases ensured that the proposed FOPID controller introduced always the better performances indices which make it favorably recommended instead of other conventional controllers.

#### APPENDIX

The following parameters of IM are given in Table 1.

AC MOTOR PARAMETER [34]					
Parameters	VALUES	UNIT			
Rated frequency F	50	Hz			
Number of poles p	4				
Rated voltage $v_n$	220	V			
Stator resistance Rs	10.1	Ω			
Rotor resistance Rr	9.8546	Ω			
Rotor inductance Lr	0.8330	Н			
Stator inductance Ls	0.8330	Н			
Mutual inductance Lm	0.7827	Н			
Moment of inertia J	0.88	kg.m <sup>2</sup>			

TABLE 1

# **AUTHORS CONTRIBUTION**

Author 1 did the following:

- 1. Data collection and tools
- 2. Data analysis and interpretation
- 3. Investigation
- 4. Methodology
- 5. Software

Author 2 did the following:

- 1. Research idea development
- 2. Software
- 3. Methodology
- 4. Permanent Supervision

Author 3 did the following:

- 1. Research idea development
- 2. Methodology
- 3. Permanent Supervision
- 4. Drafting the article
- 5. Project administration
- 6. Resources
- 7. Final approval of the version to be published

# The corresponding author is responsible for ensuring that the descriptions are accurate and agreed by all authors.

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#### Title Arabic:

التحكم في المحرك الحثي باستخدام الضبط الامثل لعوامل المتحكم الجزئي التناسبي – التكاملي-التفاضلي باستخدام طريقة المحسنات لنلدرميد او الذنب الرمادي.

# Arabic Abstract:

يفضل استخدام المحركات الحثية عن محركات التيار المستمر في كثير من التطبيقات الصناعية وذلك لما تتمتع به من تكلفه اقل ولا تحتاج الي فترات صيانه كبيرة بالاضافه الي ذلك القدرة علي تغذية احمال ذات قدرات كبيرة. وللحصول علي سرعات ثابته لهذا المحرك وجب استخدام وحدة تحكم من النوع المتحكم الجزئي التناسبي – التكاملي-التفاضلي. (FOPID). حيث يحتوي هذا المتحكم على خمسة معاملات متغيرات مهمة. يتم تسميتها على النحو التالي: - عامل التشغيل التناسبى (Kp) ، عامل التشغيل التكاملي (Ki)، عامل التشغيل التفاضلي μ. حيث يعتمد أداء أي وحدة تحكم بشكل أساسي على القيم المختارة لتلك المعاملات الخمسة ( Ki، Kp، نها لنه المعاملات الخمسة ( κi، Kp، ماملات الضبط الامثل لهذا العوامل باستخدام طرق المحسنات المناسبة لتعظيم أداء المنظومة. في الواقع ، يوجد عدد كبير من الطرق المحسنات المناسبة لتعظيم أداء المنظومة. محددتين وهما (Netion Optimize) و Netion (Netion) نادرميد و الذئب الرمادي وذلك لانهما اكثر ملائمة لهذه الدراسة.

تتاول البحث المقدم ايضا دراسة تفصيلية لثلاث حالات دراسة للمحرك الحثي المرتبط بثلاث وحدات تحكم مختلفه (هي FOPID و FOID و PI). وتم عمل نمذجة رياضية باستخدام المتلاب سيمولنك Matlab Simulink للانظمة اثناء الدراسة. وتم مناقشت النتائج التي تم الحصول عليها بالتفصيل. وقد لوحظ من نتائج التشغيل التي تم الحصول عليها في جميع الحالات أن وحدة التحكم FOPID المقترحة تقدم دائمًا مؤشرات أداء أفضل والتي تجعلنا ان نوصى باستخدامها بدلاً من وحدات التحكم التقليدية الأخرى.